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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3034.05US02

Ockert et al.

Confirmation No.: 3102

Application No.: 10/786,628

Examiner: Unknown

Filed: February 25, 2004

Group Art Unit: 2836

For: LOAD CONTROL RECEIVER WITH LINE UNDER VOLTAGE AND LINE UNDER
FREQUENCY DETECTION AND LOAD SHEDDING

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
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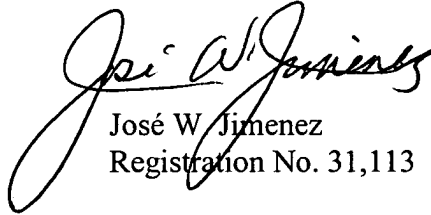
Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This information is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

This application was filed after June 30, 2003; therefore, copies of cited U.S. patents and U.S. published applications are not included.

Respectfully submitted,


José W. Jimenez
Registration No. 31,113

Customer No. 24113
Patterson, Thunte, Skaar & Christensen, P.A.
4800 IDS Center
80 South 8th Street
Minneapolis, Minnesota 55402-2100
Telephone: (612) 349-5743

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-0631.

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José W. Jimenez

Substitute for form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Application Number	10/786,628
			Filing Date	February 25, 2004
			First Named Inventor	Ockert et al.
			Art Unit	2836
			Examiner Name	Unknown
Sheet 1 of 2			Attorney Docket Number	3034.05US02

U.S. PATENT DOCUMENTS				
EXAMINER INITIAL*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)		
		US-6,496,342	12/17/2002	Horvath et al.
		US-6,377,874	04/23/2002	Ykema
		US-6,314,378	11/06/2001	Hodge et al.
		US-6,181,985	01/30/2001	O'Donnell et al.
		US-6,157,874	12/05/2000	Cooley et al.
		US-5,687,139	11/11/1997	Budney
		US-5,619,121	04/-8/1987	Trainor
		US-5,475,609	12/12/1995	Apothaker
		US-5,426,620	06/20/1995	Budney
		US-5,414,640	05/09/1995	Seem
		US-4,819,180	04/04/1989	Hedman et al.
		US-4,672,501	06/09/1987	Bilac et al.
		US-4,620,283	10/28/1986	Butt et al.
		US-4,551, 812	11/05/1985	Gurr et al.
		US-4,464,724	08/07/1984	Gurr et al.
		US-4,415,943	11/15/1983	Wortman
		US-4,371,947	02/01/1983	Fujisawa
		US-3,993,984	11/23/1976	Penrod

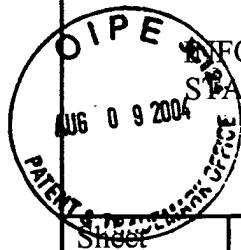
FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.



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INFORMATION DISCLOSURE
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Attorney Docket Number	3034.05US02

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Product Bulletin ESPT-2., CANNON TECHNOLOGIES, INC., <u>ExpressStat Programmable Demand Response Thermostat</u> , 2002, pp.2.	
		Marketing Brochure, CANNON TECHNOLOGIES, INC., <u>Load Response Center</u> , 2003, pp. 2.	
		Marketing Brochure, CANNONE TECHNOLOGIES, <u>Cannon Distribution Automation Systems</u> , pp. 1.	
		Marketing Brochure, CANNON TECHNOLOGIES, <u>Cannon 900 MHz Flex™ Paging Load Control Receiver</u> , 1999/2000, pp. 4.	
		Marketing Brochure, CANNON TECHNOLOGIES, <u>YUKON™ Software for Advanced Energy Services</u> , 2002.	
		Marketing Brochure, CANNON TECHNOLOGIES, <u>YUKON™ Energy Information Aggregation Curtailment Control for your largest customers</u> , 2002, can be located at website <u>www.readmeter.com</u> .	
		Marketing Brochure, CANNON TECHNOLOGIES, <u>YUKON™ Look how easy we've made load control</u> , 2002, can be located at website <u>www.loadcontrol.com</u> .	
		Marketing Brochure, CANNON TECHNOLOGIES, <u>YUKON™ Intelligent Monitoring and Notification</u> , 2003, can be located at website <u>www.esubstation.com</u> .	

EXAMINER
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